

AGILENT TECHNOLOGIES, INC.  
Legal Department, DL429  
Intellectual Property Administration  
P. O. Box 7599  
Loveland, Colorado 80537-0599

SECTION 18  
PATENT APPLICATION

ATTORNEY DOCKET NO. 10010323-1



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark Timothy Sullivan et al

Serial No.: 09/933606

Examiner: Unknown

Filing Date: Aug 20, 2001

Group Art Unit: 2877

Title: Direct Combination Of Fiber Optic Light Beams

COPY OF PAPERS  
ORIGINALLY FILED

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 10/15/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

( ) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$740.00 ( ) Design \$330.00

(X) ~~Additional claim fees of~~ Petition fee of \$130.00 under 37CFR 1.17

(X) Missing Parts Surcharge \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month \$110.00  
(X) two months \$400.00  
( ) three months \$920.00  
( ) four months \$1440.00

Please charge to Deposit Account 50-1078 the sum of \$660.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

03/06/2002 HBERNE 00000006 501078 09933606  
03 FC:116 400.00 CH

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Feb. 15, 2002

Typed Name: Ann Marie Radcliffe

Signature: Ann Marie Radcliffe

Respectfully submitted,

Mark Timothy Sullivan et al

By Jack H Wu

Jack H Wu

Attorney/Agent for Applicant(s)  
Reg. No. 29,992

Date: Feb. 15, 2002

Telephone No.: (408) 553-3060



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Mark Timothy Sullivan, et al.

EXAMINER: unknown

SERIAL NO: 09/933,606

GROUP ART UNIT: 2877

FILING DATE: August 20, 2001

PETITION TO PERMIT FILING A PATENT APPLICATION WHEN AN INVENTOR REFUSES OR CANNOT BE REACHED TO SIGN THE OATH OR DECLARATION UNDER 37 CFR 1.2. AND RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)

Sir:

In support of this Petition and Response to Notice to File Missing Parts, the following documents are enclosed.

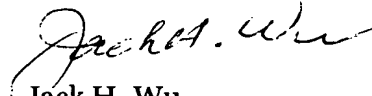
- Declaration of Jack H. Wu. With Exhibit A
- Transmittal letter (in duplicate) authorizing payment of:
  - Petition fee for \$130 under CFR 1.17
  - Two (2) month extension
  - Missing Parts Surcharge

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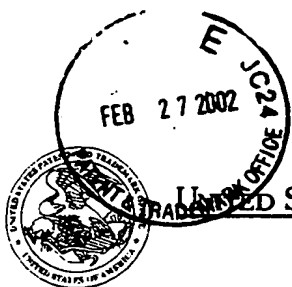
Notice to File Missing Parts

Declaration and Power of Attorney signed by all joint inventors except one.

Respectfully submitted,  
Mark Timothy Sullivan et al.

  
Jack H. Wu  
Patent Reg. No. 29,992

February 15, 2002  
Agilent Technologies  
Legal Department, M/S 51UPD  
5301 Stevens Creek Blvd.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,606	08/20/2001	Mark T. Sullivan	10010323

CONFIRMATION NO. 6749

## FORMALITIES LETTER



\*OC00000006907569\*

AGILENT TECHNOLOGIES  
Legal Department, 51U-PD  
Intellectual Property Administration  
P.O. Box 58043  
Santa Clara, CA 95052-8043

Date Mailed: 10/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*J. H. Smith*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/06/2002 HBERNE 00000006 501078 09933606

01 FC:105 130.00 CH